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Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (Rev. 1-98)		Attorney's Docket Number 25040-0681
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. (if known, see 37 CFR 1.55) 10/088299
International Application No. PCT/GB00/03329	International Filing Date 30 AUGUST 2000	Priority Date Claimed 14 SEPTEMBER 1999
Title of Invention WATER TREATMENT APPARATUS		
Applicant(s) for DO/EO/US  IMI CORNELIUS (UK) LIMITED JOHNSON, Martin, Stanley WIEMER, Klaus WILLIAMS, Graham		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), and (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19th month from the earliest claimed priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application into English (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached herewith. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). c. <input checked="" type="checkbox"/> translation not required as the application was filed in English. 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).  <b>Items 11. to 20. below concern document(s) or information included:</b> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items or information:		
Express Mail Label No. EL 690 566 813 US		Date: March 13, 2002 Page 1 of 2



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PATENT &amp; TRADEMARK OFFICE

AO 694421.1

U.S. Application No. <b>107088299</b> International Application No. PCT/GB00/03329	Attorney's Docket Number 25040-0681
21. <input checked="" type="checkbox"/> The following fees are submitted:	
<b>CALCULATIONS PTO USE ONLY</b>	
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.. <b>\$1040.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... <b>\$890.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>	
\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	
\$130.00	
Claims	Number Filed
Total claims	28 - 20 =
Independent Claims	2 - 3 =
Multiple Dependent Claims (if applicable)	+ 280.00
Number Extra	Rate
8	x 18.00
0	x 84.00
\$144.00 \$0 \$0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>	
\$1,164.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	
\$0	
<b>SUBTOTAL =</b>	
\$1,164.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	
\$0	
<b>TOTAL NATIONAL FEE =</b>	
\$0	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).	
\$40.00 per property +	
<b>TOTAL FEES ENCLOSED =</b>	
\$1,164.00	
Amount to be refunded: \$	
charged: \$	
a. <input checked="" type="checkbox"/> A check in the amount of \$1,164.00 to cover the above fees is enclosed.  b. <input type="checkbox"/> Please charge my Deposit Account No. 19-5029 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 19-5029. A duplicate copy of this sheet is enclosed.	
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.	
SEND ALL CORRESPONDENCE TO: Peter G. Pappas, Esq. SUTHERLAND ASBILL & BRENNAN, LLP 999 Peachtree Street, N.E. Atlanta, Georgia 30309 Telephone: 404-853-8000	
Peter G. Pappas, Reg. No. 33205	
FORM PTO-1390 (Rev. 11-2000) adapted	
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**Express Mail Label No. EL 690 566 813 US**

4. (Once Amended) A water treatment apparatus according to Claim 3, characterised in that the housing is cylindrical, the heater is spaced above the base of the housing, the perforated screens are above the heater, the filter is above the screens and the outlet for treated water is above the filter.

5. (Once Amended) A water treatment apparatus according to Claim 3, characterised in that at least one perforated screen has depending legs protruding downwardly from its underside.

6. (Once Amended) A water treatment apparatus according to Claim 1, characterised in that the water to be treated first passes through a heat exchanger where it is warmed before it passes to the housing.

7. (Once Amended) A water treatment apparatus according to Claim 6, characterised in that treated water leaving the housing is passed through the heat exchanger to warm the incoming untreated water.

8. (Once Amended) A water treatment apparatus according to Claim 6, characterised in that the heat exchanger and the water treatment housing are contained within a single unit.

9. (Once Amended) A water treatment apparatus according to Claim 8, characterised in that the heat exchanger is positioned directly below the water treatment housing.

10. (Once Amended) A water treatment apparatus according to Claim 8, characterised in that the water treatment housing and the heat exchanger are housed side by side and one cover plate closes the upper ends of both.

11. (Once Amended) A water treatment apparatus according to Claim 10, characterised in that the cover plate is a double-skinned plate formed by moulding in two parts which define internal galleries to provide the flow passages for the water.

12. (Once Amended) A water treatment apparatus according to claim 1, characterised in that the heater has a wattage density of from 20 to 30 watts/cm<sup>2</sup>.

13. (Once Amended) A water treatment apparatus according to claim 1, characterised in that it includes means to vibrate the heater.

14. (Once Amended) A water treatment apparatus according to claim 1, characterised in that it has a throughput of 12 to 18 litres of untreated water per hour into the housing and a heater of from 1000 to 1200 watts.

15. (Once Amended) A water treatment apparatus according to claim 1 characterised in that a temperature probe is positioned in the housing to monitor the water temperature.

16. (Once Amended) A water treatment apparatus according to claim 1, characterised in that the means to fill the housing with water up to a maximum level comprises a water depth probe.

17. (Once Amended) A water treatment apparatus according to Claim 16, characterised in that two or more water depth probes are used to monitor water levels in the housing.

18. (Once Amended) A water treatment apparatus according to Claim 15, characterised in that the probes are fitted within a separate chamber within the housing, which chamber only receives heated treated water after it has passed through the filter.

19. (Once Amended) A water treatment apparatus according to claim 1, characterised in that one or more probes in the housing are used to measure water quality.

20. (Once Amended) A water treatment apparatus according to claim 1, characterised in that the treated water is passed to a reservoir, the reservoir having an inlet and an outlet pipe, the entry to the outlet pipe within the reservoir being remote from the exit end of the inlet pipe.

21. (Once Amended) A water treatment apparatus according to Claim 20, characterised in that automatic control means are provided to stop water flow through the apparatus when the reservoir is full.

22. (Once Amended) A water treatment apparatus according to Claim 21, characterised in that the control means also control the heater to allow the heated water to be maintained at a lower temperature in standby mode when the water flow is stopped.

23. (Once Amended) A water treatment apparatus according to Claim 1, characterised in that it is housed in a cabinet, the door of the cabinet being closed by a controlled bolt mechanism, the control means being programmed to release the bolt only when the water temperature in the housing has fallen to a predetermined level.

24. (Once Amended) A water treatment apparatus according to Claim 6, characterised in that it includes means to allow a proportion of the untreated water leaving the heat exchanger outlet to be drawn off instead of passing to the treatment housing.

25. (Once Amended) A water treatment apparatus according to Claim 7, characterised in that the heated water leaving the housing is passed through cooling means before passing back into the heat exchanger.

26. (Once Amended) A water treatment apparatus comprising a treatment housing and a heat exchanger, the treatment housing having an inlet for the water to be treated, an outlet for the treated water, a heater within the housing and a filter between the heater and the outlet, characterised in that the inlet to the treatment housing receives water that has passed from a source of untreated water through the heat exchanger and the outlet from the treatment housing passes treated water back through the heat exchanger, and bypass valve means are provided to close the heat exchanger to incoming untreated water and to allow the incoming untreated water to flow directly into the treatment housing whereby the hot treated water passing through the heat exchanger sterilises the heat exchanger.

27. (Once Amended) A water treatment apparatus according to Claim 26, characterised in that the bypass valve means is a first valve on the inlet pipe to the heat exchanger which is open during normal operation to allow inflow of untreated water and a bypass valve in a bypass pipe between the source of untreated water and the first valve, the bypass valve being closed during normal operation and the first valve being closed and the bypass valve opened to sterilise the heat exchanger.

28. (Once Amended) A water treatment apparatus according to Claim 26, characterised in that the heater is in direct contact with the water in the housing.

#### REMARKS

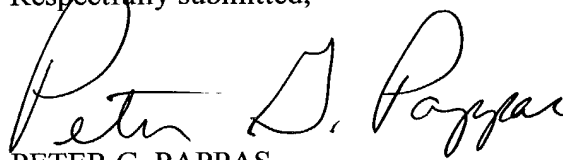
After the foregoing amendment, the application includes claims 1-28. The claims have been amended to delete reference numerals and eliminate multiple dependency.

Applicant respectfully requests the benefit of the filing date of United Kingdom Patent Application Number 9921659.0 filed in the United Kingdom Patent Office on September 14, 1999 and International PCT Application PCT/GB00/03329 filed on August 30, 2000 in the

United Kingdom Receiving Office, under 35 USC § 119 for the above referenced U.S. Patent application.

If there are any issues which can be resolved by a telephone conference or an Examiner's Amendment, the Examiner is invited to call the undersigned attorney at 404.853.8064.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Peter G. Pappas".

PETER G. PAPPAS

Reg. No. 33205

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18. (Once Amended) A water treatment apparatus according to Claim 15, [16 or 17,] characterised in that the probes [(38, 39, 40, 81)] are fitted within a separate chamber within the housing, which chamber only receives heated treated water after it has passed through the filter [(22, 23, 78, 79)].

19. (Once Amended) A water treatment apparatus according to [an preceding] claim 1, characterised in that one or more probes in the housing [(10, 60)] are used to measure water quality.

20. (Once Amended) A water treatment apparatus according to [an preceding] claim 1, characterised in that the treated water is passed to a reservoir, the reservoir having an inlet and an outlet pipe, the entry to the outlet pipe within the reservoir being remote from the exit end of the inlet pipe.

21. (Once Amended) A water treatment apparatus according to Claim 20, characterised in that automatic control means are provided to stop water flow through the apparatus [(10, 60)] when the reservoir is full.

22. (Once Amended) A water treatment apparatus according to Claim 21, characterised in that the control means also control the heater [(17, 76)] to allow the heated water to be maintained at a lower temperature in standby mode when the water flow is stopped.

23. (Once Amended) A water treatment apparatus according to [an preceding] claim 1, characterised in that it is housed in a cabinet, the door of the cabinet being closed by a controlled bolt mechanism, the control means being programmed to release the bolt only when the water temperature in the housing [(10, 60)] has fallen to a predetermined level.

24. (Once Amended) A water treatment apparatus according to [any one of Claims 6 10 23] claim 6, characterised in that it includes means to allow a proportion of the untreated water leaving the heat exchanger outlet [(16)] to be drawn off instead of passing to the treatment housing [(10)].

25. (Once Amended) A water treatment apparatus according to [any one of Claims 7 to 24] claim 7, characterised in that the heated water leaving the housing [(10)] is passed through cooling means [(29, 30), e.g. a coil and fan arrangement,] before passing back into the heat exchanger [(11)].

26. (Once Amended) A water treatment apparatus comprising a treatment housing [(10)] and a heat exchanger [(11)], the treatment housing [(10)] having an inlet [(18)] for the water to be treated, an outlet [(25)] for the treated water, a heater [(17)] within the housing and a filter [(22, 23)] between the heater [(17)] and the outlet [(25)], characterised in that the inlet [(18)] to the treatment housing receives water that has passed from a source of untreated water through the heat exchanger [(11)] and the outlet [(25)] from the treatment housing passes treated water back through the heat exchanger [(11)], and bypass valve means [(110, 112, 114)] are provided to close the heat exchanger [(11)] to incoming untreated water and to allow the incoming untreated water to flow directly into the treatment housing [(10)] whereby the hot treated water passing through the heat exchanger [(11)] sterilises the heat exchanger.

27. (Once Amended) A water treatment apparatus according to Claim 26, characterised in that the bypass valve means is a first valve [(110)] on the inlet pipe to the heat exchanger [(11)] which is open during normal operation to allow inflow of untreated water and a bypass valve [(114)] in a bypass pipe [(112)] between the source of untreated water and the first valve [(110)], the bypass valve [(114)] being closed during normal operation and the first valve [(110)] being closed and the bypass valve [(114)] opened to sterilise the heat exchanger.

28. (Once Amended) A water treatment apparatus according to Claim 26 [or 27], characterised in that the heater [(17, 76)] is in direct contact with the water in the housing.

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# WATER TREATMENT APPARATUS

This invention relates to water treatment and is particularly concerned to provide a means of purifying water in an apparatus suitable for use in a post-mix beverage dispenser, although it will be appreciated  
5 that water treated according to the invention may be used for other purposes.

Water quality and purity vary considerably from location to location and it is an object of the invention to provide a means whereby the water to be used in a post-mix dispenser or for other use can be  
10 rendered of the desired quality and purity using a relatively simple to operate and renewable means.

To be suitable for use in beverages water should not have excessive bicarbonate, carbonate and organic matter. Chlorine and heavy metals may also need to be removed.

15 It is also an object of the invention to provide an improved water treatment device in which blockage of water flow through the device by the necessary precipitation of the unwanted impurities can be ameliorated.

It is known from US 4 844 796 to provide a water treatment  
20 apparatus for post-mix beverage dispensers in which the water to be treated is passed into a removable disposable cartridge having a first, reactor section and a second, filter section wherein the water is heated in the reactor section firstly by passing through a heat exchanger and secondly by means of a heater. The heater is positioned in a central  
25 aperture defined by an annular portion of the cartridge whereby it does not come into direct contact with the water.

A similar heater arrangement is disclosed in US 5 858 248 where the heater can be located in the central cavity of a disposable cartridge of a water treatment device. Alternative heater arrangements disclosed in this application are to position the heater around the outer cylindrical surface of the cartridge or to have a gas cylinder heater beneath a central "chimney", i.e. the central cavity defined by the annular portion of the cartridge.

In all these heater embodiments, the heater is kept separated from the water under treatment. It does not become covered in deposits of the precipitated impurities that come out of solution in the water during the heating and sieving stages that take place in the cartridge. Thus the heaters are reusable and not disposed of with the disposable cartridges.

We have now surprisingly found that an efficient and economic water purification means may be achieved without the need to separate the heater from the water under treatment and hence without the need for the heater to have a long built-in life expectancy.

Accordingly, in one aspect the invention provides a water treatment apparatus comprising a treatment housing having an inlet for the water to be treated, an outlet for the treated water, a heater within the housing to come into direct contact with the water and a filter between the heater and the outlet, and means to fill the housing with water up to a maximum level which leaves a headspace between the water and the roof of the housing, the entrance to the outlet being below the operating water level.

Preferably the inlet is arranged so that the water travels upwardly within the housing.

Conveniently, the housing is in the form of a disposable cartridge which may be dispensed with, including its heater, when its life expectancy is reached.

The cartridge may contain one or more perforated screens or  
5 meshes between the heater and the filter but this is not essential.

Thus in one preferred embodiment the apparatus comprises a cylindrical housing having an inlet for the water to be treated, a heater spaced above the base of the housing and, extending within the cylindrical housing, one or more perforated screen(s) above the heater, a  
10 filter above the screen(s) and an outlet for the treated water above the filter. The outlet may conveniently be through the closed upper end of the cylinder.

The outlet extends beneath the level of the water so that hot water leaves the treatment housing without going through the headspace.  
15 Steam and volatiles collect in the headspace and may be allowed to escape through a pressure relief valve, as is described in more detail below.

The unfiltered water, e.g. from the mains, may first pass through a heat exchanger to warm it before it passes into the housing. Treated  
20 heated water leaving the housing may be passed in the opposite direction through the heat exchanger to act as the heat exchange medium to warm the incoming mains water. The treated water is, thereby, conveniently cooled before being passed to a reservoir or for direct use.

In another preferred embodiment the heat exchanger and the water  
25 treatment housing may be contained in a single unit, preferably with the heat exchanger directly beneath the water treatment housing. This may be a unitary structure or two separate units, water treatment housing and

heat exchanger, which may be completely or partially disposable. For example, the water treatment housing may be a disposable cartridge and the heat exchanger non-disposable.

This single unit arrangement has the advantage that pipework  
5 between the heat exchanger and the water treatment housing can be considerably reduced, if not eliminated. The heated water from the heat exchanger may pass directly into the water treatment housing and the treated water from the housing can pass directly back to the coils of the heat exchanger in order to heat the incoming water. This arrangement  
10 reduces the regions where precipitation deposits may build up and harmfully affect water flow. Also, with the heat exchanger directly below the water treatment housing, the inlet to the water treatment housing can readily enter at its cooler, lower end.

The inlet for the water to be treated may conveniently enter  
15 through the floor of the treatment housing but this is not essential. For example, in some embodiments the water to be treated may enter the housing through a pipe entering the housing through or near the roof, which pipe extends downwardly inside the housing towards its base. On leaving the pipe water, once the housing is filled to the lower end of the  
20 pipe, will then travel upwardly.

In another aspect the invention provides a water treatment apparatus comprising a treatment housing and a heat exchanger, the treatment housing having an inlet for the water to be treated, an outlet for the treated water, a heater within the housing and a filter between the  
25 heater and the outlet, the inlet to the treatment housing receiving water that has passed from a source of untreated water through the heat exchanger and the outlet from the treatment housing passing treated

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out of the two housings can be eliminated and replaced by large-section integrally moulded passageways that are less likely to become blocked by deposits. Moreover, the double skinned plate can readily be opened, and stripped and cleaned more easily.

5           We have surprisingly found that the direct contact of the heater and the water it is heating does not harmfully affect the efficiency of the treatment process. Deposits, largely of calcium carbonate, form on the heater surface but they only build up to a degree and then break off and fall to the floor of the container. Moreover, we have found that deposits  
10 also build up around the interior wall or walls of the housing and also a "roof" of deposits can build up on the lower surface of the lowermost screen or the lower surface of the filter when no screen is used. The water being treated, therefore, once this build up has occurred is in effect treated in an inner housing formed by accumulated deposits on the floor  
15 of the housing, an annular build up of deposits on the walls and the "roof" formed of deposits. The deposit build up is sufficiently porous not to impede flow to any significant extent and the "roof" may indeed act as a further filtration medium. Sufficient turbulence may be created during the treatment process to ensure that the build up of deposits on the  
20 heater breaks off from time to time so that the heating efficiency of the heater is not unduly impaired. The wattage density of the heater may be chosen, e.g. between 20 and 30 watts/cm<sup>2</sup>, to give sufficient power density to cause the deposits to bake, crack and fall off before any substantial thickness of deposit is formed. Alternatively, it may be found  
25 advantageous occasionally to take steps to break off these deposits on the heater. For example, the heater may be switched off and then given a burst of power. This "burst" could conveniently be applied, say,

In other embodiments ultrasonic or other vibration, e.g. caused by AC mains frequency, of the heater may be used, if necessary, to break the deposits on the heater. The heater surfaces may also be polished or coated with low-friction material in order to assist breaking off of the deposits.

Conveniently, once the water treatment housing has initially filled up with water to the maximum desired level, the outlet may be retained in the open position for continuous flow, subject to a satisfactory water temperature having been reached and maintained, which is monitored by a temperature probe, e.g. a thermistor, and the inlet may be opened and closed as required to replenish the housing, the need for replenishment being detected by one or more water depth probes in the upper part of the housing. Thus the water depth probe or probes are used to trigger the switching on or off of water flow as required, e.g. through a valve and pressure regulator from a mains water supply.

As the water level, therefore, never goes above the maximum level defined by that water depth probe, the required headspace is provided between the water and roof of the housing. The headspace, as indicated above, receives steam from the heated water and this may include unwanted volatiles from the water.

In a more preferred embodiment the probes are fitted within a separate chamber within the housing, which chamber only receives treated water after it has passed through the heating stage, any screens and the filter. Such an arrangement is described in our co-pending  
5 International patent application no. PCT/GB99/03509 and has the advantage that the probes are not rendered inoperative by gradual calcification.

The water depth probes and/or additional probes may also be used to measure and monitor water quality, for example the ionised condition  
10 of the treated water. This may conveniently be done by measurement, for example, of the difference in conductivity or capacitance between the untreated and the treated water.

In a typical prior art water treatment device for post-mix beverages, water at ambient temperature, say about 10°C, from the mains  
15 may be heated to about 90°C in the heat exchanger, passed to the water treatment housing where it is heated to about 115°C and then cooled in the heat exchanger to about 20° to 30°C. If desired, the water treatment apparatus of the present invention may be operated at similar water temperatures.

20 However, we have found that considerable deposits of impurities, particularly calcium carbonate, can build up in regions of the apparatus where they can harmfully affect performance, when such temperatures are used. For example, as the temperature of the incoming water heats up on its passage through the heat exchanger, deposits build up in the  
25 heat exchanger and these deposits can be significant in the final quarter of the heat exchanger passageways, where the water temperature is at its highest. The pipework leading from the heat exchanger to the water

treatment housing area can also become badly "furred", thereby reducing its diameter. Of course, this latter problem can be ameliorated as suggested above by building the heat exchanger and water treatment housing as a unit. It is also possible to increase the diameter of the pipes  
5 and/or to use insulated pipes or internal surface polishing or non-stick coating.

We have, however, found that these unwanted deposits can be reduced, so that greater deposition can take place in the intended deposition regions, if the water temperature is constrained within  
10 different limits. For example, instead of heating the water to 90°C in the heat exchanger, it may be heated only to about 70° to 75°C and passed into the water treatment housing at that lower temperature. It is then heated by the heater to about 115°C as usual but then is cooled, e.g. by a coil and fan arrangement to, for example 75° to 85°C, say 80°C, before  
15 passing back through the heat exchanger. Deposits in the heat exchanger and in the pipework can thereby be greatly reduced.

Where more than one perforated screen or mesh are used, they may be of the same or different perforation sizes. For example, they may have apertures from 1 to 2 per inch. The perforated screens may have  
20 depending legs protruding downwardly from their undersides whereby the build up of deposits may take a corrugated form, thereby increasing the surface area of deposit and thereby prolonging the life of the unit by delaying the time when the build up is sufficient to harm performance.

The filter may be of any suitable material. We have found that  
25 cellular sponge-like plastics material, e.g. reticulated polyester based polyurethane foam, is particularly useful.

The water treatment housing is preferably fitted with a pressure relief valve which may operate, for example, at about 0.7 bar. Steam containing unwanted volatiles from the water passes from the headspace through the relief valve and may be cooled in a condenser tube before  
5 being allowed to drain away. For example the evaporation rate through this valve over a period of use of the apparatus may be of the order of 2% by weight of the water being treated. Preferably the pressure relief valve is a dead-weight relief valve of the type well known in the art.

Treated water from the apparatus of the invention will normally be  
10 passed to a reservoir, e.g. a bag in a box type reservoir, where it can cool before being drawn off for use. Moreover, the presence of a reservoir between the water treatment housing and the facility in which the treated water is to be used ensures that the facility cannot overdraw or suck  
15 treated water directly out of the treatment housing and thereby harmfully reduce the necessary operating pressure therein. In another preferred embodiment, therefore, the reservoir is provided with separate inlet and outlet pipes and the entry to the outlet pipe within the reservoir is positioned to be remote from the exit end of the inlet pipe within the reservoir. This also helps to prevent regions of "static" water sitting  
20 within the reservoir and not being drawn off which improves water hygiene, particularly for drinks dispensers. In this embodiment, the inlet and outlet pipes may be separately connected into the reservoir or they may form part of a single connector provided that their exit and entry positions respectively are remote from each other as described above.

25 When the treated water reservoir becomes full, water flow through the apparatus is stopped, preferably by automatic control means, and the heater may be controlled to allow the heated water temperature to drop

to, say, about 90° C, i.e. the water is maintained at a lower temperature in a standby mode, so that when flow to the reservoir is again needed, the response time for the water to reach full treatment temperature is relatively fast.

- 5           The apparatus of the invention may conveniently be provided with a safety-first service function whereby it may be opened for servicing and cleaning. The apparatus may be housed in a cabinet, the door to which is closed by a controlled bolt operation, e.g. by a solenoid operated by a control board. The control board may be programmed whereby the
- 10   solenoid cannot release the bolt until the water temperature within the apparatus has fallen to a predetermined level, e.g. 50° C. When the control board is appropriately activated, e.g. by the pressing of a “service” button, the following actions may then be automatically carried out. The outlet for treated water from the water treatment housing is
- 15   closed and the heater is switched off. The inlet, e.g. for mains water into the apparatus is opened or maintained open if already open. Mains or other supply water floods through the apparatus as the supply water is regulated to a pressure above the operating pressure within the water treatment housing. As the housing outlet for treated water is closed, the
- 20   incoming water forces hot water already in the apparatus out through the pressure relief valve. Mains water is passed into the apparatus until an internal temperature sensor e.g. thermistor probe, indicates that the desired cooler temperature has been reached. The control board then actuates the switching off of the water supply and instructs the solenoid
- 25   to release the bolt to allow the cabinet door to be opened. After servicing and closure of the cabinet door, the solenoid lock on the bolt is re-set. This service function can allow servicing access much more quickly e.g.



Figure 5 is an exploded perspective view of the embodiment of Figure 4; and

Figure 6 is a diagrammatic illustration of a modified apparatus of Figure 1.

5 In Figure 1 is shown a water treatment apparatus of the invention in which a water treatment, housing comprising a cartridge 10, and a heat exchanger 11 are aligned side by side.

An inlet solenoid 12 controls supply of untreated, usually mains, water into the heat exchanger 11 via a pipe 11A. (The direction of water  
10 flow through the apparatus is indicated generally by arrows.). When the inlet solenoid is operated to allow water inflow, the untreated water then passes via an inlet pressure regulator 12A into inlet tube 13 which extends through cover plate 14 closing the top of the heat exchanger and down towards the base 15 of the heat exchanger. Cover plate 14 also  
15 extends to close the top of cartridge 10. As indicated above, the plate 14 is conveniently sealed to the top of the cartridge 10 and heat exchanger 11 by a gasket (not shown). Tube 13, by way of example only, may be 13 mm in internal diameter. Water flowing down through tube 13 exits at its lower end 13A and, when the heat exchanger is filled with water,  
20 then exits through the cover plate 14 into tube 16, which may be of 18 mm internal diameter and leads through cover 14 to discharge the untreated water through the top of cartridge 10.

Heat exchanger 11 also contains a return coil to receive treated water from cartridge 10 and this will be described in more detail below.

25 Inside the water treatment housing cartridge 10 is a high density electric heating element 17, of, say 1200 Watts capacity, in the lower half of the cartridge. Element 17 is connected to conventional electrical



circuitry (not shown) whereby the heater can be switched on and off as required.

Untreated water entering cartridge 10 from tube 16 passes down a larger diameter internal tube 18 which is shown extending approximately  
5 half way down the height of the cartridge but could, if desired, extend nearly to the floor. Tube 18 may be, for example of acetal resin and have an internal diameter of 25 mm and an external diameter of 35 mm. Incoming water fills cartridge 10, thereby surrounding heater 17 and is heated thereby.

10 Surrounding tube 18 and positioned just above its lower end are two screens 19 and 20, one above the other. Each screen has a plurality of depending legs 21 on which deposits from the heated water can form. The screens may be of mesh size from 1 to 2 per inch, for example.

Above screens 19 and 20 is a double layer of fine filter material  
15 22, 23 separated by a screen mesh layer 24. By way of example only, the filter layers 22 and 23 may be about 10 mm to 30 mm each in thickness and may be formed of compressed polyester fibre or reticulated polyester based polyurethane foam or a combination thereof.

Heated water is forced upwardly through the screen and filter  
20 layers by pressure of the incoming untreated water and exits the cartridge 10 through outlet tube 25 which passes through cover plate 14. Delivery pressure of the treated water into tube 25 whose inlet end is below the water level 10B and is governed by the boiling taking place in the headspace 10A of cartridge 10 above the water level 10B and is  
25 controlled by pressure relief valve 26 which allows hot water and/or steam with unwanted volatiles to escape into relief tube 27 and cooling

coil 28 when the internal pressure in the cartridge exceeds a predetermined value, e.g. 0.7 bar.

The hot treated water passes through tube 25 via a cooling tube 29 and fan 30 into heat exchanger 11 at an inlet 31 in cover plate 14. The treated water passes through a coil 32 towards the base 15 of the heat exchanger from where it is passed upwardly through a tube 33 which passes inside the inlet tube 13 for cold incoming water. Tube 33 exits through cover plate 14 and the treated, cooled water passes via an outlet flow restrictor 34 and an outlet solenoid valve 35 to a reservoir (not shown).

As indicated above, the untreated water may enter the heat exchanger at about 10 or 20° C and be heated to about 115° C in cartridge 10. A thermistor 36 positioned just above filter layer 23 measures the treated water temperature and is connected to a control board 37 whereby passage of treated water through the outlet solenoid valve can be prevented until the correct temperature has been reached. Depth probes 38, 39, 40 extend inside the top of cartridge 10 and may also be connected to the control board. Probe 38 indicates when the maximum required depth of water in the cartridge has been reached, probe 39 indicates that the water level is low and probe 40 indicates that the minimum water level has been reached. The control board is then actuated appropriately to start or stop the process and the water flow.

The cold water entering the system may be warmed in the heat exchanger by the outgoing treated water to, say, 72° C, the treated water may be initially cooled by coil 29 and fan 30 to about 80° C and then finally cooled in the heat exchanger to exit the apparatus at about 35° C.

A typical flow rate may be 12 to 24 litres per hour for a water treatment cartridge of volume 3.5 litres.

It will be appreciated that all these figures are for illustration only and may vary widely.

5        In Figure 2 is shown a portion of cartridge 10 of Figure 1 after build up of deposits, mainly of calcium carbonate, within the cartridge.

Heater 17 can be seen in outline as it has a covering 17A of deposit prior to its cracking and breaking away from the heater. An undulating "roof" 50 of deposits has formed over legs 21 of screen 19 but  
10    legs 21 of upper screen 20 are still visible as is the lower end of tube 18. The interior wall of cartridge 10 has a layer 51 of deposited material and its base is covered by a layer 52 of deposits. Roof 50, wall layers 51 and floor layer 52 in effect form an inner housing or "igloo" 53 within which the heat treatment of the water takes place.

15        In Figure 3 is shown an alternative type of water treatment apparatus of the invention in which the heat exchanger and the water treatment housing are situated in a single cartridge-type housing 60, with the heat exchanger lowermost. Cartridge 60 may, for example, be of stainless steel tube of about 0.5 to 1 mm wall thickness. The cartridge is  
20    closed at its upper end by a plastics end cap 61 held in position by six screws 62 (only two of which are visible) and sealed to the wall of the cartridge by an O-ring 63. Screws 62 screw into a stainless steel ring 64 welded around the outside of the upper end of the cartridge tube.

The cartridge is similarly closed at its lower end by a plastics end  
25    cap 61A, six screws 62A, an O-ring seal 63A and stainless steel ring 64A.

An inlet 65 for cold untreated water passes through plate 61A into the lower, heat exchanger, portion of cartridge 60. This lower portion contains heat exchanger coils 66 for the returning treated water which, when cooled, passes out of cartridge 60 via outlet 67 in plate 61A.

- 5 Baffles 68 extend vertically upwardly from the interior surface of plate 61A and baffles 69 extend downwardly from cross member 70 which is itself mounted on rods 71 which also extend upwardly from the plate 61A. Mounted on and extending above cross member 70 is a pair of further rods 72 which support a stainless steel closure member 73
- 10 forming a scale trap with stainless steel arms 74 and 75 extending inwardly from the wall of the cartridge. The baffles and rods may be of acetal resin, for example, and provide a turbulent flow path for the incoming cold water as it extracts heat from the outflowing treated water.

- Above scale trap 73, 74, 75 is a heater 76 sealed into an opening
- 15 defined in the cartridge wall by an annular flange 77. Above heater 76 is a first coarse filter 78 and, above that, a second finer filter 79. The water level in the cartridge is indicated at 80, leaving a headspace 80A, and is monitored by a probe 81 which may be connected to a control board as described with reference to Figure 1. A relief valve 82 in the plate 61
- 20 allows escape of steam and/or hot water at a predetermined pressure.

Tube 83, whose upper end is above filter 79, allows the heated, treated water to flow downwardly into heat exchanger coils 66 and from there to outlet 67. As before, the outlet 67 may lead to a reservoir for the treated water.

- 25 This apparatus may be controlled and operated in a similar manner to that described with reference to Figure 1 but it will be noted that there is considerably less pipework between the heat exchanger and water

treatment portions of the apparatus, thereby considerably reducing potential blockage points by deposits from the water.

In Figures 4 and 5 is shown an outlet pipe 25A having a modified end to form a labyrinth to prevent bubbles, which may include unwanted volatiles, passing through the outlet tube.

This modified outlet pipe replaces pipe 25 shown in Figure 1 and the end portion of the pipe shown in Figure 4 lies inside the (not shown) cover 14 of Figure 1.

Pipe 25A has a conventional pipe end portion 100, which passes through cover 14 of the treatment housing. It is, however, closed at its inner end by an end cap 101 of larger diameter than the outer diameter of pipe portion 100. Holes 102 are positioned through the wall of pipe portion 100 close to its end cap 101. These holes are visible in Figure 4 but not in Figure 5. End cap 101 is fitted tightly into a sleeve 103 which extends along the exterior of pipe portion 100 for a short distance beyond holes 102, thereby providing an annular passage 104 through which hot treated water may flow downwardly and then through holes 102 into the interior of pipe portion 100 and then out of the water treatment housing. Bubbles 105 of, for example, unwanted volatiles, flow upwardly as indicated by the arrow to the liquid surface 106 but cannot pass downwardly to pass through the labyrinth arrangement of outlet pipe 25A and, hence, are prevented from passing through the outlet with the treated water.

In Figure 6 is shown an alternative form of apparatus having a sterilising function for the heat exchanger. The apparatus is essentially the same as that shown in Figure 1 and like parts have been numbered identically in Figure 6 and are not described in detail again hereafter.

The modified apparatus has a valve 110 on the pipe 11A, valve 110 being positioned between inlet pressure regulator 12A and the entry to inlet tube 13 into heat exchanger 11. Between regulator 12A and valve 110 a branch pipe 112 leads off from pipe 11A to enter tube 16 before the latter leads through cover 14 into the water treatment housing 10. A valve 114 controls entry to pipe 112 from pipe 11A.

During normal operation of the apparatus valve 114 is closed and valve 110 is open. Water, therefore, flows into the heat exchanger 11, from there into water treatment housing 10 and from there back through heat exchanger 11 to outlet valve 35 and thence to a reservoir just as described with reference to Figure 1.

In order to sterilise the heat exchanger, valve 110 is closed and valve 114 opened. Cold incoming water, therefore, bypasses the heat exchanger 11 and flows through pipe 112 and tube 16 directly into the water treatment housing 10, i.e. it is not pre-heated. Hot treated water passes back through coil 32 in the heat exchanger which, in the absence of cooling incoming water, rises in temperature to say, above 85°C. The heat exchanger and the outlet tubing therefrom are thereby sterilised.

## CLAIMS

1. A water treatment apparatus comprising a treatment housing (10, 60) having an inlet (18, 65) for the water to be treated, an outlet (25, 67) for the treated water, a heater (17, 76) within the housing to come into  
5 direct contact with the water and a filter (22, 23, 78, 79) between the heater and the outlet, characterised in that means (38, 81) are provided to fill the housing with water up to a maximum level which leaves a headspace (10A, 80A) between the water and the roof (14, 61) of the housing, the entrance to the outlet being below the operating water level  
10 (10B, 80).
2. A water treatment apparatus according to Claim 1, characterised in that the housing (10, 60) is in the form of a disposable cartridge which may be dispensed with including its heater (17, 76).
3. A water treatment apparatus according to Claim 1 or 2,  
15 characterised in that the housing (10) contains one or more perforated screens (19, 20) between the heater (17) and the filter (22, 23).
4. A water treatment apparatus according to Claim 3, characterised in that the housing (10) is cylindrical, the heater (17) is spaced above the base of the housing, the perforated screens (19, 20) are above the heater,  
20 the filter (22, 23) is above the screens and the outlet (25) for treated water is above the filter.
5. A water treatment apparatus according to Claim 3 or 4, characterised in that at least one perforated screen (19, 20) has depending legs (21) protruding downwardly from its underside.
- 25 6. A water treatment apparatus according to any preceding claim, characterised in that the water to be treated first passes through a heat exchanger (11) where it is warmed before it passes to the housing.





15. A water treatment apparatus according to any preceding claim, characterised in that a temperature probe is positioned in the housing (10, 60) to monitor the water temperature.
16. A water treatment apparatus according to any preceding claim,  
5 characterised in that the means to fill the housing with water up to a maximum level comprises a water depth probe (38, 81).
17. A water treatment apparatus according to Claim 16, characterised in that two or more water depth probes (38, 39 40) are used to monitor water levels in the housing.
- 10 18. A water treatment apparatus according to Claim 15, 16 or 17, characterised in that the probes (38, 39, 40, 81) are fitted within a separate chamber within the housing, which chamber only receives heated treated water after it has passed through the filter (22, 23, 78, 79).
- 15 19. A water treatment apparatus according to any preceding claim, characterised in that one or more probes in the housing (10, 60) are used to measure water quality.
20. A water treatment apparatus according to any preceding claim, characterised in that the treated water is passed to a reservoir, the reservoir having an inlet and an outlet pipe, the entry to the outlet pipe  
20 within the reservoir being remote from the exit end of the inlet pipe.
21. A water treatment apparatus according to Claim 20, characterised in that automatic control means are provided to stop water flow through the apparatus (10, 60) when the reservoir is full.
22. A water treatment apparatus according to Claim 21, characterised  
25 in that the control means also control the heater (17, 76) to allow the heated water to be maintained at a lower temperature in standby mode when the water flow is stopped.

23. A water treatment apparatus according to any preceding claim, characterised in that it is housed in a cabinet, the door of the cabinet being closed by a controlled bolt mechanism, the control means being programmed to release the bolt only when the water temperature in the housing (10, 60) has fallen to a predetermined level.
24. A water treatment apparatus according to any one of Claims 6 to 23, characterised in that it includes means to allow a proportion of the untreated water leaving the heat exchanger outlet (16) to be drawn off instead of passing to the treatment housing (10).
25. A water treatment apparatus according to any one of Claims 7 to 24, characterised in that the heated water leaving the housing (10) is passed through cooling means (29, 30), e.g. a coil and fan arrangement, before passing back into the heat exchanger (11).
26. A water treatment apparatus comprising a treatment housing (10) and a heat exchanger (11), the treatment housing (10) having an inlet (18) for the water to be treated, an outlet (25) for the treated water, a heater (17) within the housing and a filter (22, 23) between the heater (17) and the outlet (25), characterised in that the inlet (18) to the treatment housing receives water that has passed from a source of untreated water through the heat exchanger (11) and the outlet (25) from the treatment housing passes treated water back through the heat exchanger (11), and bypass valve means (110, 112, 114) are provided to close the heat exchanger (11) to incoming untreated water and to allow the incoming untreated water to flow directly into the treatment housing (10), whereby the hot treated water passing through the heat exchanger (11) sterilises the heat exchanger.

27. A water treatment apparatus according to Claim 26, characterise in that the bypass valve means is a first valve (110) on the inlet pipe to the heat exchanger (11) which is open during normal operation to allow inflow of untreated water and a bypass valve (114) in a bypass pipe (112)
- 5 between the source of untreated water and the first valve (110), the bypass valve (114) being closed during normal operation and the first valve (110) being closed and the bypass valve (114) opened to sterilise the heat exchanger.
28. A water treatment apparatus according to Claim 26 or 27,
- 10 characterised in that the heater (17, 76) is in direct contact with the water in the housing.

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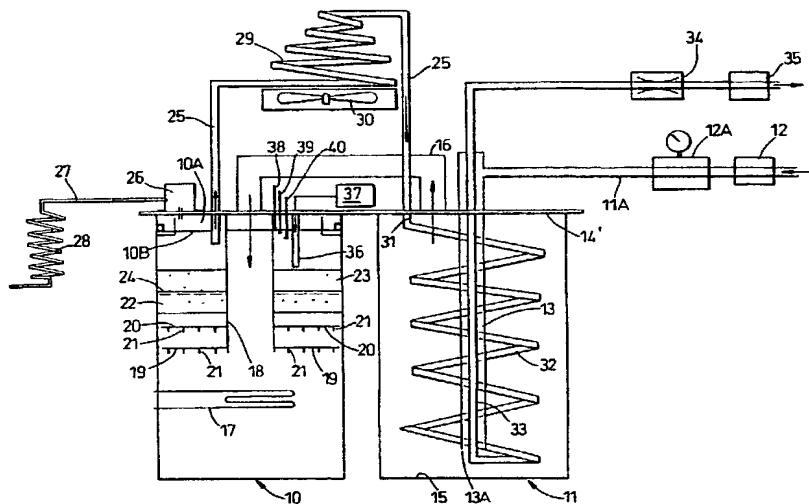
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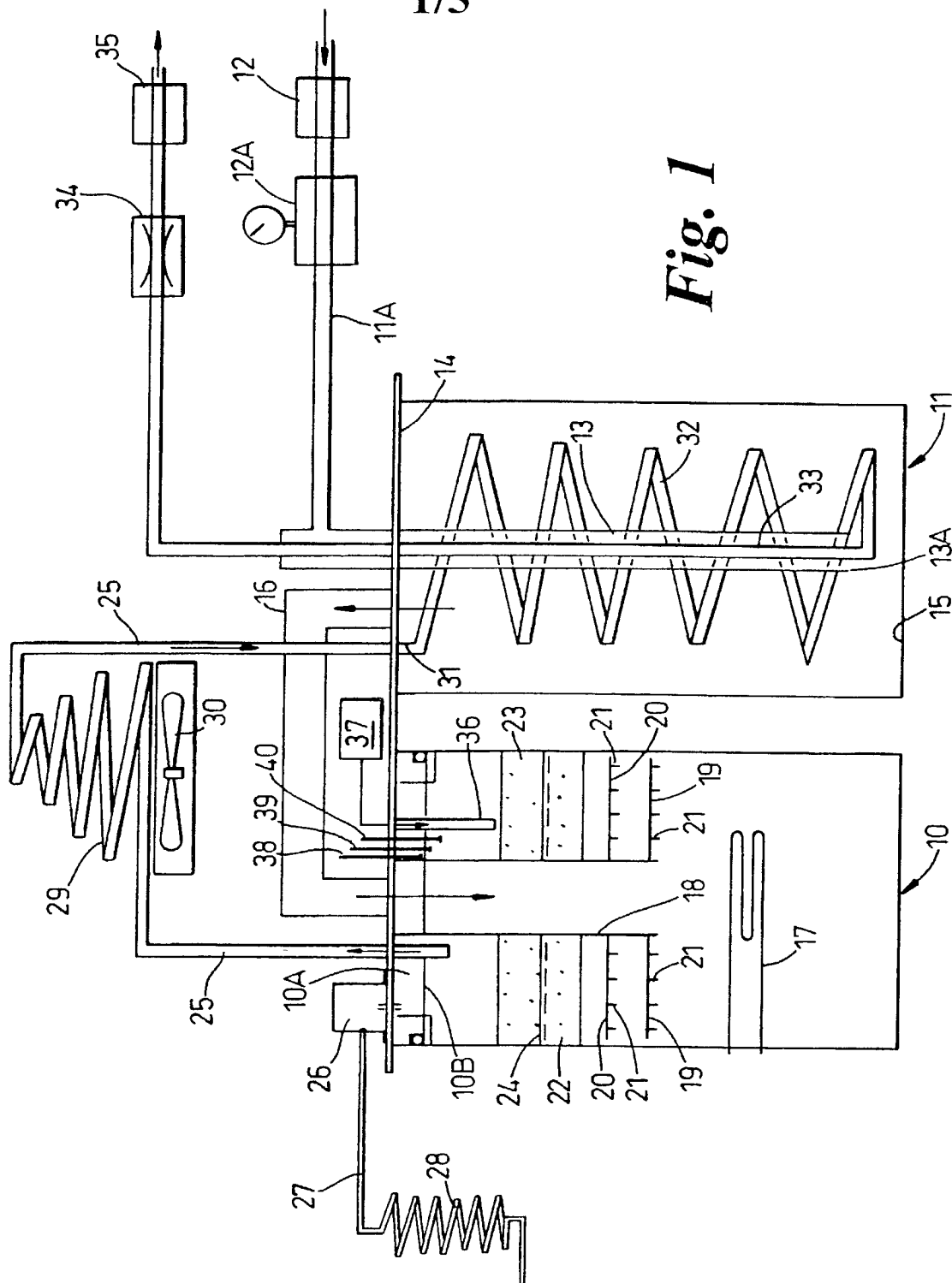
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(54) Title: WATER TREATMENT APPARATUS



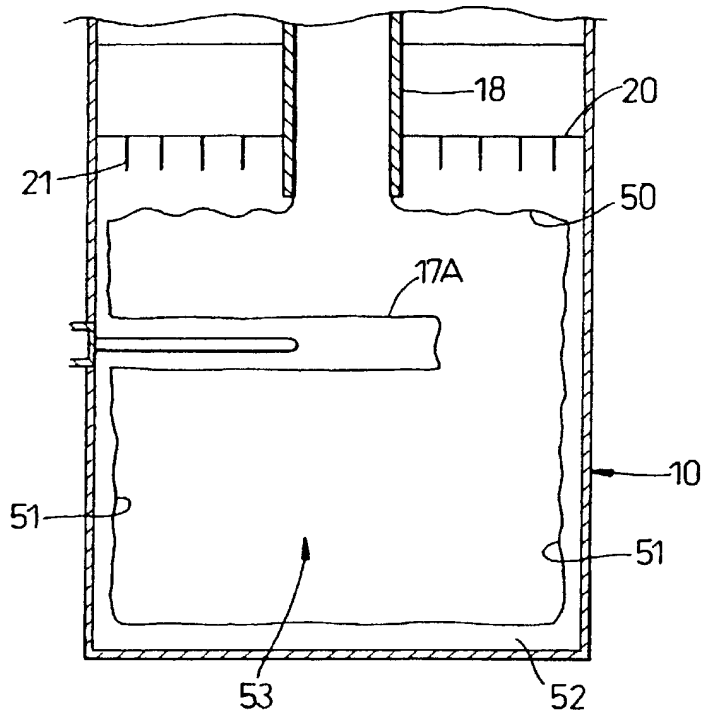
(57) Abstract: The invention provides an improved means of purifying water which comprises a water treatment apparatus comprising a treatment housing (10, 60) having an inlet (18, 65) for the water to be treated, an outlet (25, 67) for the treated water, a heater (17, 76) within the housing to come into direct contact with the water and a filter (22, 23, 78, 79) between the heater and the outlet, characterised in that means (38, 81) are provided to fill the housing with water up to a maximum level which leaves a headspace (10A, 80A) between the water and the roof (14, 61) of the housing, the entrance to the outlet being below the operating water level (10B, 80).

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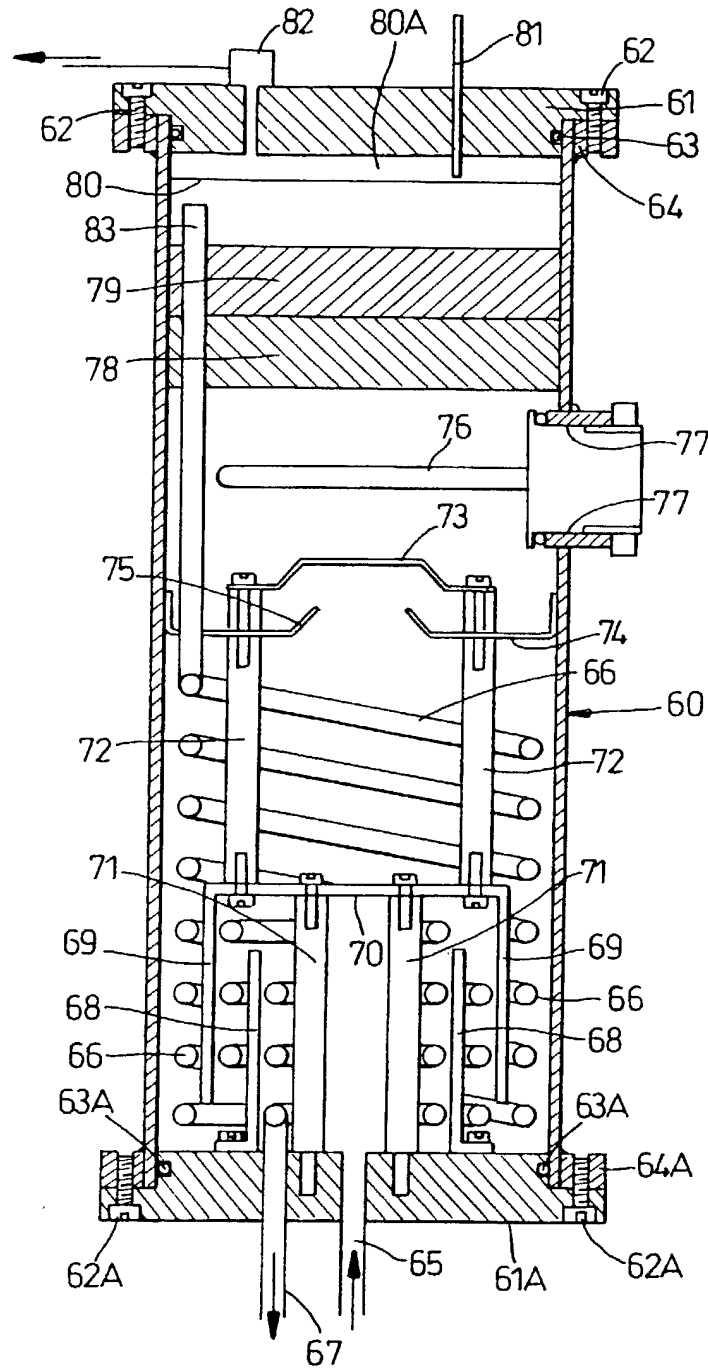
**Fig. 1**

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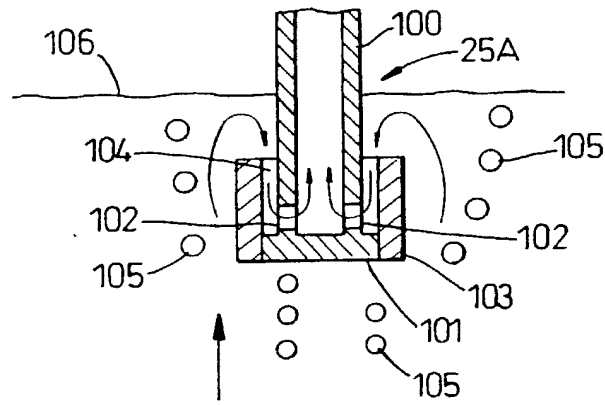
**Fig. 2**

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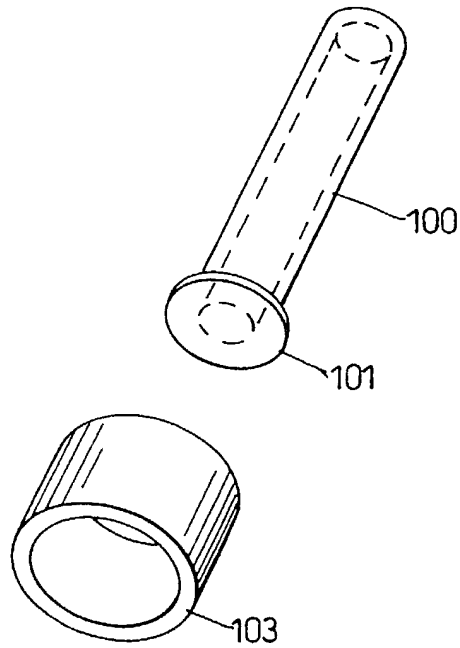


*Fig. 3*

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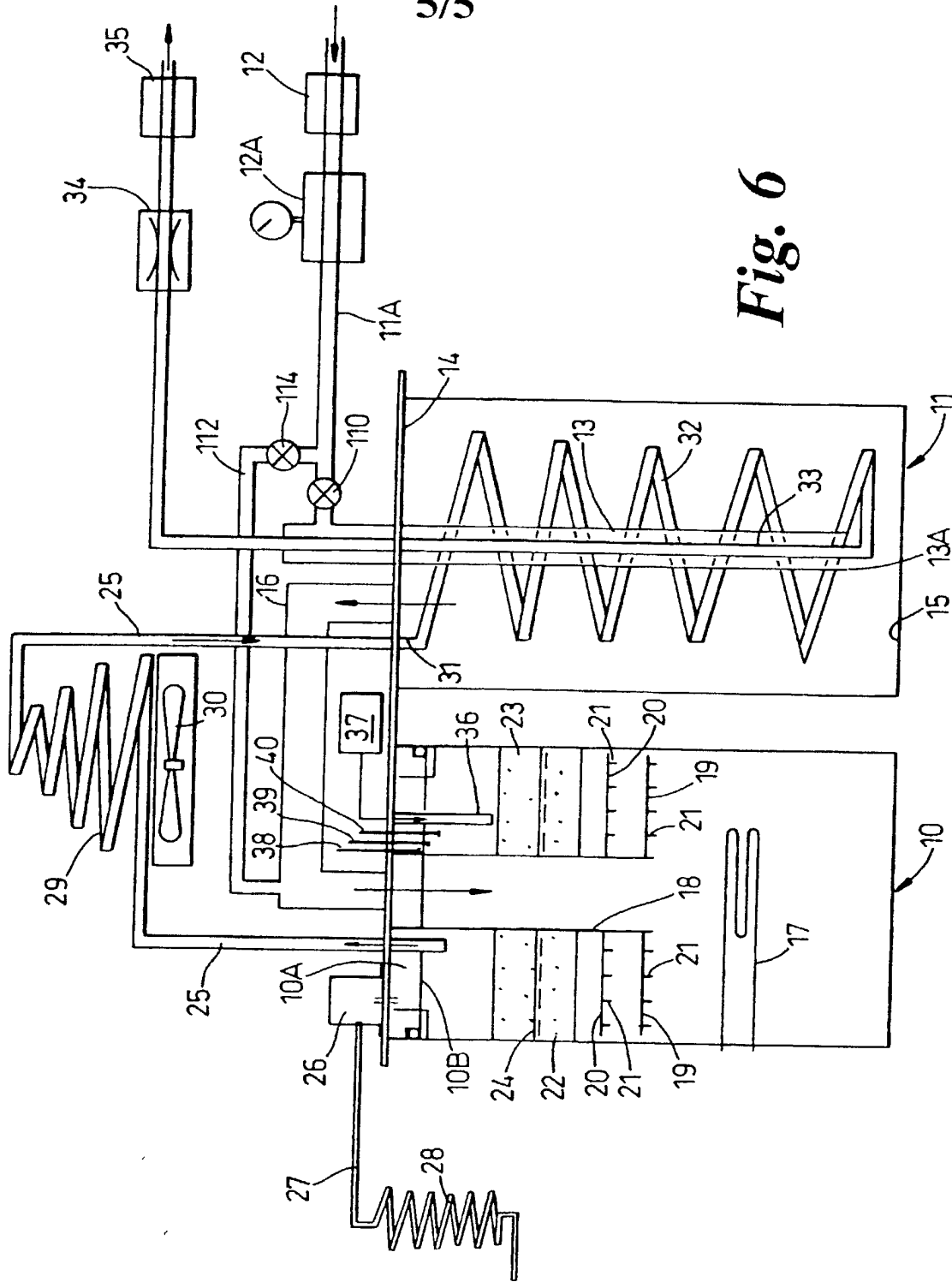


**Fig. 4**



**Fig. 5**





**Fig. 6**

(Foreign associate use only)

DECLARATION AND POWER OF ATTORNEY

Attorney's Docket No. 25040-0681

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Water Treatment Apparatus the specification of which

☐ is attached hereto.

☒ was filed on March 13, 2002, as Application No. 10/088,299 and was amended (if applicable) on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I do not know and do not believe that the same was ever known or used by others in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to the date of this application. I further state that the invention was not in public use or on sale in the United States of America more than one year prior to the date of this application. I understand that I have a duty of candor and good faith toward the Patent and Trademark Office, and I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate disclosing subject matter in common with the above-identified specification and having a filing date before that of the application on which priority is claimed:

Country	App. No.	Date of Filing	Priority Claimed Under 35 USC §119
United Kingdom	9921659.0	14 September 1999	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
PCT	PCT/GB00/03329	30 August 2000	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, § 120 of any prior United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each claim of the present application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Filing Date	Status: patented, pending, abandoned
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I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issuing thereon.

I hereby authorize the U.S. attorneys named herein to accept and follow instructions from **Barker Brettell** as to any action to be taken in the Patent and Trademark Office regarding this application, without direct communication between the U.S. attorney and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney named herein will be notified by the undersigned.

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Attorney Docket No.: 25040-0681

Title: Water Treatment Apparatus

Page 2

2 m

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